



जवाहरलाल नेहरू विश्वविद्यालय
JAWAHARLAL NEHRU UNIVERSITY

नई दिल्ली - 110067
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DR. SAJJAN SINGH
ASSISTANT REGISTRAR (EVALUATION)

No.Eval.I/3(43A)/2017/

21st April, 2017

CIRCULAR

In pursuance of the University Grants Commission (Minimum Standards and Procedure for Award of M.PHIL./PH.D Degrees) Regulations, 2016, published in The Gazette of India dated 5th May, 2016, the Executive Council vide Resolution No. 6 (B meeting) in its meetings held on 3rd January, 2017 has approved the amendment of Ordinances relating to the award degree of M.Phil./Ph.D. of Academic Ordinances of the University as mentioned in **Annexure - I & II**.

These amendments shall be effective for M.Phil. and Ph.D. students admitted on or after 5th May, 2016.

This issues with the approval of the Competent Authority.

(SAJJAN SINGH)

To
All Deans of the Schools/Chairpersons of the Centres
All Notices Board of the Schools/Centres
OSD to VC
AR (Acad.)/(Admission)
President, JNUSU
Concerned File.

14 ORDINANCE RELATING TO THE AWARD OF MASTER OF PHILOSOPHY DEGREE

Existing	Amendment
<p>2. Eligibility for Admission to the Course : A candidate shall be eligible for admission to the course leading to the Degree of Master of Philosophy (M.Phil.) hereinafter called the course, if he/she had taken the Master's degree of the University or a degree recognized by the University for this purpose as its equivalent thereto:</p> <p>Provided, however, that the cases of students who have passed examination outside the Indian Universities system and who may not have obtained a Master's degree may be considered for admission to the course subject to the condition that each case shall be examined on its own merit by the Admission Committee and in accordance with the recommendations of the Equivalence Committee.</p>	<p>1. Eligibility for Admission to the Course: A candidate shall be eligible for admission to the course leading to the Degree of Master of Philosophy (M.Phil.) hereinafter called the course, if he/she had taken the Master's degree with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale of the University or a degree recognized by the University for this purpose as its equivalent thereto:</p> <p>Provided, however, that the cases of students who have passed examination outside the Indian Universities system and who may not have obtained a Master's degree may be considered for admission to the course subject to the condition that each case shall be examined on its own merit by the Admission Committee and in accordance with the recommendations of the Equivalence Committee.</p> <p>Provided further that a relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled category, or for those who had obtained their Master's degree prior to 19th September, 1991. The relaxation of 5% is based only on the qualifying marks without including the grace mark procedures.</p>
<p>6. Courses of Study : The Department/Centre shall prescribe the course(s) and specify the methodology and instructional devices to be used. Every such course shall carry such credits as may be approved by the Board of the School on the recommendations of Centre/Department concerned.</p> <p>In any course where an end semester examination is prescribed, a student clears the course only if he/she has participated in the sessional work and appeared in the end semester examination and secured a</p>	<p>6. Courses of Study : The Department/Centre shall prescribe the course(s) and specify the methodology and instructional devices to be used. Every such course shall carry such credits as may be approved by the Board of the School on the recommendations of Centre/Department concerned.</p> <p>In any course where an end semester examination is prescribed, a student clears the course only if he/she has participated in the sessional work and appeared in the end semester examination and secured a</p>

weighted grade higher than 'F'. In no case shall a student be allowed to repeat a course either in the event of failure or for improving the performance.

Provided, however, that a student may with the permission of Centre/Department concerned be allowed to add or substitute courses within the period of three weeks from the commencement of the semester.

Provided further that a student may, with the permission of Centre/Department concerned, be allowed to drop courses latest by 5th September for Monsoon semester and 20th February in the case of Winter Semester. No student shall be allowed to add, substitute and/or drop a course after these deadlines.

7. Duration of the programme.

The M.Phil. course work shall be spread over two semesters in such a way that at least 50% of the total course work shall be completed in the first semester. The entire M.Phil. programme including M.Phil dissertation shall be completed within four consecutive semesters. No registration shall be permissible beyond the period of four semesters from the date of admission to the programme.

Provided that a semester or a year may be declared zero semester or zero year in the case of a student if he/she could not continue with the academic programme during that period due to illness and hospitalization or due to accepting a foreign scholarship/fellowship subject to the fulfillment of requirements as laid down by the regulations. Such zero semester/year shall not be counted for calculation of the duration of the programme in case of such a student.

#Provided further that in case of a foreign student who is compelled to leave the programme in between for getting their

weighted grade higher than 'F'.

Provided that the Department shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee.

In no case shall a student be allowed to repeat a course either in the event of failure or for improving the performance.

Provided, however, that a student may with the permission of Centre/Department concerned be allowed to add or substitute courses within the period of three weeks from the commencement of the semester.

Provided further that a student may, with the permission of Centre/Department concerned, be allowed to drop courses latest by 5th September for Monsoon semester and 20th February in the case of Winter Semester. No student shall be allowed to add, substitute and/or drop a course after these deadlines.

7. Duration of the programme.

The M.Phil. course work shall be spread over two semesters in such a way that at least 50% of the total course work shall be completed in the first semester. The entire M.Phil. programme including M.Phil dissertation shall be completed within four consecutive semesters. No registration shall be permissible beyond the period of four semesters from the date of admission to the programme.

Provided that a semester or a year may be declared zero semester or zero year in the case of a student if he/she could not continue with the academic programme during that period due to illness and hospitalization or due to accepting a foreign scholarship/fellowship subject to the fulfillment of requirements as laid down by the regulations. Such zero semester/year shall not be counted for calculation of the duration of the programme in case of such a student.

#Provided further that in case of a foreign student who is compelled to leave the programme in between for getting their

student visa/research visa extended such period shall not be counted for the purpose of calculation of duration of the programme.

8. Removal of Name of a Student from the Rolls of the University.

- 8.1 The name of a student who fails in any course shall automatically stand removed from the rolls of the University if he/she:
- a) Fails in any course
 - b) Fails to secure a CGPA of 5.00 on completion of course work at the end of 2nd semester of the programme.

9. Credit Requirements:

No student admitted to the course shall be eligible for the award of M.Phil, degree unless he/she secures 24 credits in all out of which atleast 14 credits shall be for course work (including Research Techniques/ Methodology) and at least 6 credits for the dissertation.

student visa/research visa extended such period shall not be counted for the purpose of calculation of duration of the programme.

Provided that women students and persons with disability (more than 40 per cent disability) may be allowed relaxation of two semesters in the maximum duration of the course after completion of the course work. In addition, the women students would be eligible for maternity leave/child care leave once for up to 240 days in the entire duration of the course.

8. Removal of Name of a Student from the Rolls of the University.

- 8.1 The name of a student who fails in any course shall automatically stand removed from the rolls of the University if he/she:
- a) Fails in any course
 - b) Fails to secure a CGPA of 5.00 (4.5 in the case of SC/ST/ OBC(non-creamy layer)/differently-abled) on completion of course work at the end of 2nd semester of the programme in order to be eligible to continue in the programme and submit the dissertation.**

9. Credit Requirements:

No student admitted to the course shall be eligible for the award of M.Phil, degree unless he/she secures 24 credits in all out of which atleast 14 credits shall be for course work (including Research Techniques/ Methodology) and at least 6 credits for the dissertation.

Provided that a minimum of 04 credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc.

Provided further that Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the Research Advisory Committee and the Department and the final grades shall be communicated to the concerned Department.

10. Topic of Dissertation:

The topic of dissertation shall be approved by the Centre/Department on a proposal submitted by the student through his/her Adviser.

11. Evaluation:

11.2 The dissertation shall be examined by two examiners at least one of whom shall not be a member of the staff of the University/ Committee of the Centre/Board of the School concerned. Such an examiner shall be appointed by the Executive Council of the University on the recommendations of the Academic Council, the Board and the Committee for Advanced Studies and Research of the School concerned.

Provided further that in case an examiner to whom the dissertation has been sent for evaluation fails to forward the report to the University within four months from the date of dispatch of the dissertation, the University may appoint another examiner from the panel of examiners approved by the Vice-Chancellor to evaluate the dissertation.

Provided that in case of difference of opinion between the two examiners with regard to the evaluation of dissertation, the Dean of the School shall arrange to have placed before the Committee for Advanced Studies & Research (CASR) of the School, the evaluation reports of both the examiners for its consideration.

10. Topic of Dissertation:

The topic of dissertation shall be approved by the Centre/Department on a proposal submitted by the student through his/her Adviser/**Supervisor**.

Provided that the M.Phil. scholar shall be required to undertake research work and produce a draft dissertation within a period of nine months after successful completion of course work.

11. Evaluation:

11.2 The dissertation shall be examined by two examiners at least one of whom shall not be a member of the staff of the University/ Committee of the Centre/Board of the School concerned **and one shall be his/her Research Supervisor**. Such an examiner shall be appointed by the Executive Council of the University on the recommendations of the Academic Council, the Board and the Committee for Advanced Studies and Research of the School concerned.

Provided that the viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by both of them together, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/ researchers.

Provided further that in case an examiner to whom the dissertation has been sent for evaluation fails to forward the report to the University within four months from the date of dispatch of the dissertation, the University may appoint another examiner from the panel of examiners approved by the Vice-Chancellor to evaluate the dissertation.

Provided that in case of difference of opinion between the two examiners with regard to the evaluation of dissertation, the Dean of the School shall arrange to have placed before the Committee for Advanced Studies & Research (CASR) of the School, the evaluation reports of both the examiners for its consideration.

Provided further that after due consideration of the reports, the Committee may recommend the appointment of a third examiner (not connected with the University) in the manner as laid down in Clause 11.2 above for the evaluation of the dissertation.

Provided further that if the recommendation of the third examiner is in the negative the student concerned shall not be awarded the degree of M.Phil.

Provided further that after due consideration of the reports, the Committee may recommend the appointment of a third examiner (not connected with the University) in the manner as laid down in Clause 11.2 above for the evaluation of the dissertation.

Provided further that if the recommendation of the third examiner is in the negative the student concerned shall not be awarded the degree of M.Phil.

(ADDITION)

11.2.1 Only a full time regular Professor of the University with at least five research publications in refereed journals and a regular Associate/Assistant Professor of the university with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor.

Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

Provided, however, that Co-Supervisor/Joint Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.

11.2.2 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three (3) M.Phil. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (2) M.Phil. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (1) M.Phil. scholars.

11.6 M.Phil scholars shall present at least one (1) research paper in a conference/seminar before the submission of the dissertation for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.

11.7 While submitting for evaluation, the dissertation shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.

11.8 Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil. degree(s), the Institution concerned shall submit an electronic copy of the M.Phil. dissertation to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

11.9 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.

15. Research Advisory Committee and its functions

15.1 There shall be a Research Advisory Committee for each M.Phil. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This Committee shall have the following responsibilities:

15.1.1 To review the research proposal and finalize the topic of research;

15.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.

15.1.3 To periodically review and assist in the progress of the research work of the research scholar.

15.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the University with a copy to the research scholar.

15.3 Prior to the submission of the dissertation, the scholar shall make a presentation in the Department before the Research Advisory Committee of the University which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation in consultation with the Research Advisory Committee.

15.4 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the University with specific reasons for cancellation of the registration of the research scholar.

	<p>16. In case of relocation of an M.Phil. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in the Ordinance are followed in letter and spirit and the research work does not pertain to the project secured by the University/supervisor from any funding agency. The scholar will however give due credit to the parent guide and the University for the part of research already done.</p>
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13. ORDINANCE RELATING TO THE AWARD OF DEGREE OF DOCTOR OF PHILOSOPHY

Existing	Amendment
<p>2. A Candidate shall be eligible for admission to a course of research leading to the Degree of Doctor of Philosophy(Ph.D) if he/she had taken the Master's degree of the University or a degree recognized by the University for this purpose as its equivalent. However, candidates seeking admission direct to the Ph.D Programme will be governed by Clause 6(iv) of the Ordinance.</p>	<p>2. A Candidate shall be eligible for admission to a course of research leading to the Degree of Doctor of Philosophy (Ph.D) if he/she had taken the Master's degree with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale of the University or a degree recognized by the University for this purpose as its equivalent.</p>
	<p>Provided that a relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled category, or for those who had obtained their Master's degree prior to 19th September, 1991. The relaxation of 5% is based only on the qualifying marks without including the grace mark procedures.</p>
	<p>Provided further that candidates who have cleared the M.Phil. course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed to do research work leading to the Ph. D. Degree in the same Institution in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled categories of candidates.</p>
	<p>However, candidates seeking admission direct to the Ph.D Programme will be governed by Clause 6(iv) of the Ordinance.</p>
<p>4. The application shall be considered by the Department/Centre concerned which shall then forward the application along with its opinion to the Committee for Advanced Studies and Research and recommend the name of an Adviser or Supervisor(s) at the appropriate time.</p>	<p>4. The application shall be considered by the Department/Centre concerned which shall then forward the application along with its opinion to the Committee for Advanced Studies and Research and recommend the name of an Adviser or Supervisor(s) at the time of interview/viva voce.</p>

5 (b) If the Committee is so satisfied, it may subject to confirmation by the Board of the School, admit the candidate provisionally to the course leading to the award of the Ph.D degree, and at the appropriate time, appoint, on the recommendation of the Department/Centre concerned, a teacher of the University as an Adviser or Supervisor (s) to guide and supervise the work of the candidate.

Provided that the Committee for Advanced Studies and Research may, on the recommendation of the Department/Centre concerned, appoint Joint Supervisors in any particular case.

Provided further that in case a Supervisor, under whose guidance a thesis has been prepared in part or in full, ceases to be a teacher of the University he/she may subject to his/her availability and recommendation of the Department/Centre be continued by the Committee for Advanced Studies and Research as Joint Supervisor of the student concerned.

5 (b) If the Committee is so satisfied, it may subject to confirmation by the Board of the School, admit the candidate provisionally to the course leading to the award of the Ph.D degree, and **at the time of interview/viva voce**, appoint, on the recommendation of the Department/Centre concerned, a teacher of the University as an Adviser or Supervisor (s) to guide and supervise the work of the candidate.

Provided that a full time regular Professor of the University with at least five research publications in refereed journals and a full time regular Associate/Assistant Professor of the University with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition.

Provided that the Committee for Advanced Studies and Research may, on the recommendation of the Department/Centre concerned **with the approval of the Research Advisory Committee**, appoint Joint Supervisors in any particular case.

Provided further that in case of topics which are of inter-disciplinary nature, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor/Joint Supervisor from outside the Department/Institution.

Provided further that in case a Supervisor, under whose guidance a thesis has been prepared in part or in full, ceases to be a teacher of the University he/she may subject to his/her availability and recommendation of the Department/Centre be continued by the Committee for Advanced Studies and Research as Joint Supervisor of the student concerned.

6.1 The candidate shall be considered for confirmation by the Committee for Advanced Studies and Research on the basis of the following :

- (i) Completion of M.Phil./M.Tech./MPH programme of the University with a minimum CGPA of 6.00 including grade of dissertation/field work and courses (5.5 in case of SC/ST and disabled students)

Provided that confirmation in Ph.D. programme of a student who has completed the course requirement of the M.Phil./M.Tech./MPH programme and the result of whose M.Phil./M.Tech./MPH dissertation/field work is awaited may be considered provisional and made final only after the result is known and he/she has secured the required CGPA/FGPA.

- (ii) Completion of all the prescribed M.Phil./M.Tech./MPH course work as per the respective Ordinances with a minimum CGPA of 6.5.

- (iii) Completion of the courses carrying a minimum of 14 credits of Pre-Ph.D programme of the University with a minimum CGPA of 6.5 (6.0 in case of SC/ST and disabled students)

Provided further if a student has taken more courses than the prescribed

6.1 The candidate shall be considered for confirmation by the Committee for Advanced Studies and Research on the basis of the followings :

- (i) Completion of M.Phil./M.Tech./MPH programme of the University with a minimum CGPA/FGPA of 6.00 including grade of dissertation and courses **(5.5 in case of SC/ST /OBC (non-creamy layer)/differently-abled) and satisfying the criteria mentioned under 6.1 (ii) below.**

Provided that confirmation in Ph.D. programme of a student who has completed the course requirement of the M.Phil./M.Tech./MPH programme and **whose M.Phil./M.Tech./MPH dissertation has been evaluated and the result of viva voce is pending** may be considered provisional and made final only after the result is known and he/she has secured the required CGPA/FGPA.

- (ii) Completion of all the prescribed M.Phil./M.Tech./MPH course work **with at least 55% marks in aggregate or 5.0 CGPA (50% marks or 4.5 CGPA in case of SC/ST/OBC(non-creamy layer)/differently-abled) and satisfying the criteria mentioned under 6.1 (i) above.**

- (iii) Completion of the courses of Pre-Ph.D programme/**Ph.D programme of the University with a minimum CGPA of 5.0 (4.5 in case of SC/ST/OBC(non-creamy layer)/differently-abled).**

Provided that the course work shall be treated as prerequisite for Pre-Ph.D/Ph.D. programme. Pre-Ph.D/Ph.D. course work shall be a minimum of 08 credits and a maximum of 16 credits. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc.

Provided further if a student has taken more courses than the prescribed

number of courses and has secured the grade higher than F in each course then in that case the best grades of the required number of courses shall be taken into account for calculation of CGPA for the purpose of deciding his/her case for confirmation to the Ph.D. programme.

- (iv) In exceptional cases directly to the Ph.D programme on the basis of their previous research experience in a recognized University/Research Institution or research publication(s) comparable to M.Phil./M.Tech/MPH standard. Where, however, course work is prescribed by the Centre/School for such category of students, as pre-requisite for confirmation, they would be required to secure a minimum CGPA of 6.5 in the course/courses to be eligible for confirmation and continuation in the programme. Every such pre-requisite course, shall carry such credit as may be approved by the Board of Studies of the School/Special Committee of the School//Special Centre on the recommendation of the Centre/Department.

In case of a student admitted under this sub-clause 6.1 (iv), the Centre/School may advise him/her to audit a course or courses, which would be treated as non-credit course/courses without subjecting him/her to evaluation process.

Provided further if a student has taken more courses than the prescribed number of courses and has secured the grade higher than F in each course then in that case the best grades of the required number of courses shall be taken into account for calculation of CGPA for the purpose of deciding his/her case for confirmation to the Ph.D. programme.

Explanation to the existing Sub-Clause(iv)

He/she would have obtained M.Phil. /

number of courses and has secured the grade higher than F in each course then in that case the best grades of the required number of courses shall be taken into account for calculation of CGPA for the purpose of deciding his/her case for confirmation to the Ph.D. programme.

- (iv) In exceptional cases directly to the Ph.D programme on the basis of their previous research experience in a recognized University/Research Institution or research publication (s) comparable to M.Phil./M.Tech/MPH standard. Where, however, course work is prescribed by the Centre/School for such category of students, as pre-requisite for confirmation, they would be required **to secure a minimum 55% marks/CGPA of 5.0 (50% marks or 4.5 CGPA to SC/ST/OBC(non-creamy layer)/differently-abled)** in the course/courses to be eligible for confirmation and continuation in the programme. Every such pre-requisite course, shall carry such credit as may be approved by the Board of Studies of the School/Special Committee of the School//Special Centre on the recommendation of the Centre/Department.

In case of a student admitted under this sub-clause 6.1 (iv), the Centre/School may advise him/her to audit a course or courses, which would be treated as non-credit course/courses without subjecting him/her to evaluation process.

Provided further if a student has taken more courses than the prescribed number of courses and has secured the grade higher than F in each course then in that case the best grades of the required number of courses shall be taken into account for calculation of CGPA for the purpose of deciding his/her case for confirmation to the Ph.D. programme.

Explanation to the existing Sub-Clause(iv)

He/she would have obtained M.Phil. /

<p>M.Tech. / MPH / Pre-Ph.D. or equivalent degree from a recognized University / Institution.</p> <p>Or</p> <p>Candidates should have at least two years' research experience in reputed institutions with research publication(s) comparable to M.Phil. standard. In addition, they should have obtained Masters Degree with 55% marks or equivalent FGPA in 10 point scale/comparative standard where the grading is based on system other than 10 point scale.</p> <p>6.2 A student admitted to the Ph.D. Programme under 6.1 (iii) and 6.1 (iv) above shall be required to clear the course work within the first two consecutive semesters. In any course where an end semester examination is prescribed, a student clears the course only if he/she has participated in the sessional work and appeared in the end semester examination and secured a weighted grade higher than 'F'.</p> <p>In no case shall a student be allowed to repeat a course either in the event of failure</p>	<p>M.Tech. / MPH / Pre-Ph.D. or equivalent degree from a recognized University / Institution.</p> <p>Or</p> <p>Candidates should have at least two years' research experience in reputed institutions with research publication(s) comparable to M.Phil. standard. In addition, they should have obtained Masters Degree with 55% marks (50% marks to SC/ST/OBC(non-creamy layer)/differently-abled) or equivalent FGPA in 10 point scale/comparative standard where the grading is based on system other than 10 point scale.</p> <p>6.2 A student admitted to the Ph.D. Programme under 6.1 (iii) and 6.1 (iv) above shall be required to clear the course work within the first two consecutive semesters. In any course where an end semester examination is prescribed, a student clears the course only if he/she has participated in the sessional work and appeared in the end semester examination and secured a weighted grade higher than 'F'.</p> <p>Provided that the Department shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee.</p> <p>Provided further that Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the Research Advisory Committee and the Department and the final grades shall be communicated to the University.</p> <p>Provided further that Candidates already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department.</p> <p>In no case shall a student be allowed to repeat a course either in the event of</p>
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<p>or for improving the performance. However, after having registered in a semester, a student with the permission of the Centre/Department concerned be allowed to add, drop or substitute course(s) within a period of three weeks from the commencement of the semester.</p> <p>6.4 The name of a student admitted to pre-Ph.D/Ph.D. programme under (iii) and (iv) of Clause 6.1 above may be removed from the roll of the University at the discretion of the Committee for Advanced Studies and Research if the student;</p> <p>(i) fails to clear at least 50% of the course work of the programme in the first semester ; or</p> <p>(ii) fail to secure SGPA of 5.5 in the first semester course (5.00 in the case of SC/ST/PH categories); or</p> <p>(iii) lacks interest and motivation for research after completion of course work</p> <p>Note: The above (amended), clause shall apply to students admitted to the Ph.D. Programme from July, 1989 onwards.</p> <p>8.1 No candidate shall be permitted to submit his/her thesis for the Ph.D. degree, unless he/she has pursued the said programme of research at the University for not less than two years after confirmation of his/her admission.</p> <p>Provided that the residency period for the M.Phil./Ph.D. students whose admission to the Ph.D. programme has been confirmed from the date of award of M.Phil., the residency period of two years shall be counted from the date of submission of M.Phil. dissertation.</p> <p>Provided further that in case of M.Phil./Ph.D. students whose admission to Ph.D. programme has been confirmed after the completion of course work with requisite CGPA the residency period shall be counted from the date of confirmation of admission to the Ph.D. programme by the</p>	<p>failure or for improving the performance. However, after having registered in a semester, a student with the permission of the Centre/Department concerned be allowed to add, drop or substitute course(s) within a period of three weeks from the commencement of the semester.</p> <p>6.4 The name of a student admitted to pre-Ph.D/Ph.D. programme under (iii) and (iv) of Clause 6.1 above may be removed from the roll of the University at the discretion of the Committee for Advanced Studies and Research if the student;</p> <p>(i) fails to clear at least 50% of the course work of the programme in the first semester ; or</p> <p>(ii) fail to secure CGPA of 5.0 in the course work (4.5 in the case of SC/ST/ OBC(non-creamy layer)/differently-abled) in order to be eligible to continue in the programme and submit the thesis; or</p> <p>(iii) lacks interest and motivation for research after completion of course work</p> <p>8.1 No candidate shall be permitted to submit his/her thesis for the Ph.D. degree, unless he/she has pursued the said programme of research at the University for not less than two years after confirmation of his/her admission or three years from the date of submission of M.Phil. dissertation for the students of M.Phil./Ph.D. integrated programme whose admission to the Ph.D. programme has been confirmed from the date of award of M.Phil. The residency period shall be counted from the date of submission of M.Phil. dissertation.</p>
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CASR/Special Committee of the Schools/Special Centres.

Provided further that in the case of students directly admitted to the Ph.D. programme the residency period of two years shall be counted from the date of successful completion of course work in case course work has been prescribed and in other cases, the residency period shall be counted from the date of their admission to the Ph.D. programme.

Provided further that a student in employment may be given provisional registration to a maximum period of two semesters in order to enable him/her to get study leave from his/her employer. In case he/she fails to get study leave his/her admission will be cancelled. However, the residency period of two years in such cases will be reckoned from the beginning of study leave.

Provided that a semester or a year may be declared zero semester or zero year in the case of a student if he/she could not continue with the academic programme during that period due to illness and/or hospitalization or due to accepting a foreign scholarship/fellowship subject to the fulfillment of requirements as laid down by the regulations. Such zero semester/ year shall not be counted for calculation of the total duration of the programme in case of such a student.

#Provided further that in case of a foreign student who is compelled to leave the programme in between for getting their student visa/research visa extended such period shall not be counted for the purpose of calculation of duration of the programme.

8.2 A student shall be permitted to de-register from the Ph.D. programme only after completion of two years residency period as prescribed in Clause 8.1

Provided further that in the case of students directly admitted to the Ph.D. programme the residency period of two years shall be counted from the date of successful completion of course work in case course work has been prescribed and in other cases, the residency period shall be counted from the date of their admission to the Ph.D. programme.

Provided further that a student in employment may be given provisional registration to a maximum period of two semesters in order to enable him/her to get study leave from his/her employer. In case he/she fails to get study leave his/her admission will be cancelled. However, the residency period of **three years** in such cases will be reckoned from the beginning of study leave.

Provided that a semester or a year may be declared zero semester or zero year in the case of a student if he/she could not continue with the academic programme during that period due to illness and/or hospitalization or due to accepting a foreign scholarship/fellowship subject to the fulfillment of requirements as laid down by the regulations. Such zero semester/ year shall not be counted for calculation of the total duration of the programme in case of such a student.

#Provided further that in case of a foreign student who is compelled to leave the programme in between for getting their student visa/research visa extended such period shall not be counted for the purpose of calculation of duration of the programme.

8.2 A student shall be permitted to de-register from the Ph.D. programme only **after completion of residency period** as prescribed in Clause 8.1.

8.3 While submitting for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching

<p>9 (a) The name of the candidate shall stand automatically removed from the rolls of the University if he/she;</p> <p>(i) fails in any course prescribed for pre-Ph..D/Ph.D programme.</p> <p>(ii) fails to secure a CGPA of 6.5 in the course work for pre-Ph.D programme (6.00 in case of SC/ST students) or 6.5 in the course work of Ph.D Programme.</p> <p>(iii) fails to secure the requisite CGPA/FGPA in M.Phil/M.Tech/MPH, if he/she was provisionally admitted to Ph.D programme pending the result of his/her M.Phil/M.Tech/MPH dissertation/field work.</p> <p>(iv) fails to submit his/her thesis within six years of the date of his/her initial admission to the M.Phil/M.Tech./MPH/Ph.D Programme, or four years from the date of his/her confirmation to the Ph.D Programme, whichever is earlier.</p> <p>Provided, however, that in respect of candidates who had discontinued after obtaining their M.Phil and who are re-admitted to the programme by the Centre/School, the period for which such candidates had discontinued shall not be counted while calculating the period of six years as above.</p>	<p>that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.</p> <p>8.4 In case of relocation of an Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the University/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.</p> <p>9 (a) The name of the candidate shall stand automatically removed from the rolls of the University if he/she;</p> <p>(i) fails in any course prescribed for pre-Ph..D/Ph.D programme.</p> <p>(ii) fails to secure a CGPA of 5.0 (4.5 CGPA to SC/ST/OBC(non-creamy layer)/differently-abled) in the course work for pre-Ph.D programme/Ph.D Programme.</p> <p>(iii) fails to secure the requisite CGPA/FGPA in M.Phil/M.Tech/MPH, if he/she was provisionally admitted to Ph.D programme pending the result of his/her M.Phil/M.Tech/MPH dissertation/field work.</p> <p>(iv) fails to submit his/her thesis within Eight years of the date of his/her initial admission to the M.Phil/M.Tech./MPH Programme, or five years from the date of his/her confirmation to the Ph.D Programme, whichever is earlier.</p> <p>Provided, however, that in respect of candidates who had discontinued after obtaining their M.Phil and who are re-admitted to the programme by the Centre/School, the period for which such candidates had discontinued shall not be counted while calculating the period of eight years as above.</p>
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<p>12 A candidate shall submit his/her thesis for the Ph.D.degree in the manner prescribed by Regulations. Any original paper(s) pertaining to the area of specialization published by the candidate during the course of work leading to the Ph.D. degree and/or the dissertation submitted by him/her for the Master of Philosophy degree may be submitted as subsidiary or supporting material in favour of his/her candidature for the award of the Ph.D degree.</p> <p>13 The thesis submitted by the candidates for the award of Ph.D degree shall be examined by two examiners appointed by the Academic Council and the Executive Council on the recommendations of the Committee for Advanced Studies and Research and the Board of the School, from amongst those who are not on the staff of the University and/or on the Committee of the Centre/Board of the School concerned.</p> <p>Provided further that in case an examiner to whom the thesis has been sent for evaluation fails to forward the report to the</p>	<p>Provided that women students and persons with disability (more than 40 per cent disability) may be allowed relaxation of two semesters in the maximum duration of the course after completion of the course work before availing extra time under Clause 9(b). In addition, the women students would be eligible for maternity leave/child care leave once for up to 240 days in the entire duration of the course.</p> <p>12 A candidate shall submit his/her thesis for the Ph.D.degree in the manner prescribed by Regulations. Any original paper(s) pertaining to the area of specialization published by the candidate during the course of work leading to the Ph.D. degree and/or the dissertation submitted by him/her for the Master of Philosophy degree may be submitted as subsidiary or supporting material in favour of his/her candidature for the award of the Ph.D degree.</p> <p>Provided that Ph.D. scholars must publish at least one (1) research paper in refereed journal and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.</p> <p>13 The thesis submitted by the candidates for the award of Ph.D degree shall be examined by his/her Research Supervisor and at least two external examiners of whom one examiner may be from outside the country and appointed by the Academic Council and the Executive Council on the recommendations of the Committee for Advanced Studies and Research and the Board of the School, from amongst those who are not on the staff of the University and/or on the Committee of the Centre/Board of the School concerned.</p> <p>Provided further that in case an examiner to whom the thesis has been sent for evaluation fails to forward the report to the</p>
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University within six months from the date of dispatch of the thesis, the University may appoint another examiner out of the panel approved by the Vice-Chancellor to evaluate the thesis.

- 16 The viva-voce examination of candidate shall be conducted by a Board of examiners consisting of one of the external examiners of the thesis and the Supervisor(s). Provided, where neither of the examiners, who evaluated the thesis, is in a position to conduct the viva-voce examination, another examiner shall be appointed in his/her place.

Provided that where the Supervisor is unable to be present within a reasonable time to participate in the viva-voce examination, the Committee for Advanced Studies and Research may recommend another member of the faculty in his/her place.

- 23 (iii) the Committee for Advanced Studies and Research, if so satisfied, shall subject to confirmation by the Board of the School concerned, permit him/her to submit his/her thesis in not less than two years from the date of his/her obtaining the permission to undertake research work leading to the award of the Ph.D degree.

University within six months from the date of dispatch of the thesis, the University may appoint another examiner out of the panel approved by the Vice-Chancellor to evaluate the thesis.

Provided that a Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

- 16 **The viva-voce examination of candidate based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.** Provided, where neither of the examiners, who evaluated the thesis, is in a position to conduct the viva-voce examination, another examiner shall be appointed in his/her place.

Provided that where the Supervisor is unable to be present within a reasonable time to participate in the viva-voce examination, the Committee for Advanced Studies and Research may recommend another member of the faculty in his/her place.

- 23 (iii) the Committee for Advanced Studies and Research, if so satisfied, shall subject to confirmation by the Board of the School concerned, permit him/her to submit his/her thesis in not less than **three years** from the date of his/her obtaining the permission to undertake research work leading to the award of the Ph.D degree.

(ADDITION)

26. Research Advisory Committee and its functions

26.1. There shall be a Research Advisory Committee for each Ph.D. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This Committee shall have the following responsibilities:

26.1.1 To review the research proposal and finalize the topic of research;

26.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.

26.1.3 To periodically review and assist in the progress of the research work of the research scholar.

26.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the University with a copy to the research scholar.

26.3 Prior to the submission of the thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft thesis in consultation with the Research Advisory Committee.

26.4 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the University with specific reasons for cancellation of the registration of the research scholar.

	<p>27.1 The University shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.</p> <p>27.2 Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.</p> <p>27.3 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.</p>
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जवाहरलाल नेहरु विश्वविद्यालय
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DR. SAJJAN SINGH
ASSISTANT REGISTRAR (EVALUATION)

No.III/33/Eval.II/CREO/2017/

21st April, 2017

CIRCULAR

It is notified for information of all Schools/Centres of the University that the Executive Council vide Resolution no. 08 in its meetings held on 3rd January, 2017 has approved the amendment to Clause 11.5 of Ordinance relating to the award of Master of Philosophy degree (Ordinance No. 14) of Academic Ordinances of the University as under:

Existing	Amendment
<p>A student in order to be eligible for the award of Master of Philosophy degree of the University must have a minimum Cumulative Grade Point Average (CGPA) of 5.5 (inclusive of dissertation and course work).</p> <p>Provided that a student may take courses carrying more credits than the number of credits prescribed for optional courses by the Centre in the course work of M.Phil programme, in which case in the calculation of Final Grade Point Average (FGPA) only the prescribed number of optional courses in the descending order of the grades obtained by the student shall be included. For example, if the M.Phil programme has course work comprising two optional courses and the student credits four optional courses, his/her Final Grade Point Average (FGPA) shall be calculated on the basis of the best two courses, when all the four optional courses are ranked according to the descending order of grades obtained by him/her.</p> <p>Note 1: The above amended Clause shall be applicable in the case of optional courses only.</p> <p>2: The above amendment shall be effective for M.Phil students admitted during July 2003.</p>	<p>A student in order to be eligible for the award of Master of Philosophy degree of the University must have a minimum Final Grade Point Average (FGPA) of 5.0 (inclusive of dissertation and course work).</p> <p>NO CHANGE</p> <p>Note 1: NO CHANGE</p> <p>2: The above amendment shall be effective for M.Phil. students admitted during July 2016.</p>

This issues with the approval of the Competent Authority.

(SAJJAN SINGH)

To
All Deans of the Schools/Chairpersons of the Centres
All Notices Board of the Schools/Centres
OSD to VC
AR (Acad.)/(Admission)
President, JNUSU
Concerned File.